A Generalized EXIT

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Abstract

Like EXIT, {EXIT} allows a Forth word to abort its own execution upon discovery of an exceptional condition. Unlike EXIT, however, {EXIT} can be used within a DO loop. It can specify the point where execution is to resume. And its behavior is not affected by refactoring of the code that uses it.

Background: Some Problems

The standard Forth **EXIT** is quite a useful word: it allows a colon definition to respond to exceptional conditions by aborting its own execution and returning immediately to the word that called it. It is most commonly used upon detection of a condition which renders execution of the remainder of the definition either meaningless or dangerous.

EXIT has its limitations, however. Both the 1979 and 1983 standards explicitly state that EXIT may not be used within a DO loop. From a practical standpoint, this is because it gets its destination address from the top of the return stack; traditional Forth systems also use the return stack for storage of the DO-loop counters, thus blocking EXIT's access to the appropriate return address. Even though some current Forth systems have a separate DO-loop stack for this purpose, many do not, and the standard cannot allow a practice which does not work on all systems. The traditional substitution for EXIT-within-a-DO-loop is a comparatively complex structure of flags and IFs [figure 1].

Two other limitations may become apparent when a nest of Forth words is re-factored [figure 2]. If a word which calls EXIT becomes in-line code, or if a large word is factored into smaller words, EXIT may no longer go to the right place. Again, flags and IFs traditionally replace the EXITs. The implementation must change, even though the logic has not.

Various special-purpose alternatives to the flag-and-IF technique have been proposed. [BROD84] (Chapter 8, "Minimizing Control Structures: Using Structured Exits") suggests direct manipulation of the return stack as one possibility, and an EXIT-type word specialized for D0 loops as another. Neither of these solutions, however, allows the code to be restructured. Explicit return-stack manipulation fails if the level of nesting changes, and a word designed to be used inside a D0 loop will not work if, for example, a BEGIN loop is substituted.

A Solution

A more general technique is possible. Although this technique could replace EXIT altogether, the simplicity and frequent usefulness of the plain-vanilla word make it worthwhile to retain the old EXIT. Therefore, the new word has a new name, {EXIT}, pronounced "curly EXIT." Since all the problems enumerated above are due to EXIT's not knowing where to go, it is also necessary to mark the level where execution is to return if the exit occurs. The markers are named

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{ and } (note that each is a Forth word and must be set off by spaces). Since the markers must always be used together, they can be referred to collectively as "curly braces," or individually as "left curly" and "right curly."

The use of {EXIT} can be demonstrated by applying it to the preceeding examples. In Figure 3, {EXIT} works within a DO loop just the way EXIT "should" have. Not only do all three examples in Figure 4 use {EXIT} in exactly the same way; all three examples actually accomplish the same thing. (Note in the second example that the in-line code from DDD is enclosed in curly braces just as the name of DDD is in the first and third.)

Like EXIT, {EXIT} can be used any number of times within the same definition. But because {EXIT} can be used at any level within a nest of words, it can actually be used at more than one level within the same nest [figure 5]. If the entire nest is enclosed by a single pair of curly braces, all {EXIT}s within the nest will return to the same right curly. When there are multiple paired braces, an {EXIT} will advance, just as one might expect, to the right curly corresponding to the most-recently-executed left curly. And one {EXIT} can even advance to any of several different right curlies, depending on what part of the code calls the word that contains the {EXIT}.

Some Limitations

The many advantages of **{EXIT}** are accompanied by a few, relatively minor, cautions. The first three are implementation dependent.

```
\ what we'd really like to do, if only we could:
: AAA
     whatever@1
     DO whatever@2
        IF EXIT THEN
                        \ if we exit,
        whatever@3
     LOOP
     whatever@4;
: BBB
     whatever@5
     AAA
     whatever06:
                              we'd like to come here
\ what we end up doing instead:
VARIABLE flag
                        \ we define a flag
: AAA
     whatever@1
     FALSE flag!
                         \ we initialize the flag
     DO whatever02
        IF TRUE flag!
                         \ we set the flag
                              & leave the DO loop
           LEAVE
        THEN
        whatever03
     LOOP
     flag @
                         \ then we test the flag
     IF EXIT THEN
                              and if it's set.
     whateverØ4:
: BBB
     whatever@5
     AAA
     whatever06:
                              we come here
```

Figure 1.

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When curly braces are placed within a DO loop, the loop index cannot be retrieved by using I within the braces, since the marker left on the return stack by left curly [see IMPLEMENTATION NOTES, below] will block I's access to the index. The solution is to call I from outside the braces, leaving the index on the parameter stack for use from within. Curly braces outside a DO loop will not interfere with the use of I.

```
\ the original factoring:
: DDD
    whatever@7
    whatever@8
    IF EXIT THEN \ if we exit,
    whatever@9
    whatever10:
: EEE
    whatever11
     whatever12 ;
                        we come here
: FFF
     whatever13
     EEE
     whatever14;
\ one refactoring that doesn't work:
: EEE
     whatever11
     whatever07
     whatever@8
     IF EXIT THEN \ if we exit,
     whateverØ9
     whatever10
     whatever12 : \
                        we'd like to come here,
: FFF
     whatever13
     whatever14 :
                        but we come here instead
\ and another that doesn't:
: CCC
     whatever@8
     IF EXIT THEN \ if we exit,
     whatever@9 ;
: DDD
     whatever07
     whatever10; \
                        we come here, although
: EEE
     whatever11
     DDD
     whatever12; \
                         we'd like to come here
: FFF
     whatever13
     EEE
     whatever14;
```

Figure 3.

Use of a return-stack marker also limits the range of the DO loops within which {EXIT} appears. In order to minimize the possibility that an intervening DO-loop counter will be mistaken for it, I have chosen a negative value for the marker, thus effectively limiting my DO-loop parameters to non-negative (or small unsigned) values.

My choice of marker was also driven by the need to distinguish between it and the more typical occupants of the return stack, addresses. Since the processors used here are byte-addressable but execute only 16-bit words, this was not difficult. I simply chose an odd marker. Since I rarely use negative numbers, or large unsigned numbers other than (even) addresses, as **DO**-loop parameters, these limitations are rarely burdensome.

The first two limitations would not exist in a system with a separate DO-loop stack, though other precautions would then be required, to ensure that {EXIT} leaves the DO-loop stack in a known state. (Even the standard Forth EXIT would have fewer limitations on such a system, but recall that {EXIT}'s advantages are not limited to its usability within DO loops.)

All three limitations could be removed by an implementation which does not put a marker on the return stack. (In order to implement {EXIT} on a word-addressed processor with a full complement of memory, some alternative method would, of course, be a necessity.) For example, left curly could simply store the current value of the return-stack pointer in a variable; but then only one level of curly braces could be used. Nesting of curly-brace pairs could be restored by giving left curly an array (essentially, its own stack) in which to store return-stack-pointer values, but this could be considered overkill. Perhaps a more elegant solution will be discovered. (Or one can imagine, for example, a very sophisticated {EXIT} that scans forward, mock-executing and doing return-stack maintenance, till it finds a }; { would then be a no-op, or could be omitted.)

Like many other pairs of Forth words, curly braces require balanced placement. Specifically, both braces of a pair must be at the same return-stack level: Either both must be outside a **DO** loop, for example, or both inside. In general, both must be in the same word (this rule may be broken with care, but both refactoring and documentation then become quite difficult). For the most part, this simply means putting the braces where they intuitively belong.

Finally, as with EXIT, care must be taken that {EXIT} leaves the parameter stack (and the D0-loop stack, if any) in a known condition.

Implementation Notes

Except of course for the assembler symbols in CODE definitions, the Forth used throughout this paper is 79-Standard. The implementation [Appendix 1] includes only two extra-Standard words, namely \ ("back-slash"), which designates the rest of the line as a comment, and RØ ("r-zero"), which is a user variable containing a value identical to the return-stack pointer's contents when the return stack is empty. In short, RØ points to the bottom of the return stack.

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Ticking a colon definition is presumed to return a pointer to the first location within that definition which points to a Forth word called by the definition. (The Forth I use actually does not work this way, and the address must be calculated.)

```
\ {EXIT} can be used
١
     not only in the original factoring:
: DDD
     whatever@7
     whatever@8
     IF {EXIT} THEN
                       \ if we exit.
     whatever@9
     whatever10;
: EEE
     whatever11
     { DDD }
     whatever12;
                            we come here
: FFF
     whatever13
     EEE
     whatever14:
  . . . but also
     in the first refactored version:
: EEE
     whatever11
     { whateverØ7
       whateverØ8
       IF {EXIT} THEN \ if we exit,
       whatever@9
       whatever10 }
     whatever12 :
                            we come here
: FFF
     whatever13
     EEE
     whatever14:
\ . . . and in the other refactorization:
: CCC
     whatever@8
     IF {EXIT} THEN
                       \ if we exit,
     whatever09;
: DDD
     whatever07
     CCC
     whatever10;
: EEE
     whatever11
     { DDD }
     whatever12 ;
                       ١
                            we come here
: FFF
     whatever13
     EEE
     whatever14:
```

Figure 4.

```
\ {EXIT} may be used several times
     and/or at different levels:
: GGG
     whatever17
     IF {EXIT} THEN \ whether we exit here,
     whatever18;
: HHH
     whatever19
     IF {EXIT} THEN \
                          or here.
     GGG
     whatever20
     IF {EXIT} THEN
     whatever21;
: III
     whatever22
     { whatever23
     IF {EXIT} THEN
                          or here.
     whatever24 }
     whatever25:
                          we come here
```

Figure 5.

Note that {'s run-time code, ({), compiles as two words: one is the usual pointer to ({) itself; the other word (filled in when }'s run-time code, (}), is compiled) contains the address to which {EXIT} will advance, namely the address of the word following (}).

When ({) is executed, it pushes two words onto the return stack: the advance-to address saved in its other compiled word, topped by a marker. If {EXIT} executes, it first finds the marker on the return stack (discarding it and anything on top of it), then pops the advance-to address into the Forth instruction counter, so that NEXT will advance execution to the word following (}). In this case, (}) is not executed. If {EXIT} is not executed, (}) simply discards the unused marker and address (which by then are waiting at the top of the return stack).

This implementation is coded for DEC PDP/LSI-11 computers, but an equivalent implementation of {EXIT} should be possible on most Forth systems. Register names used here are fairly typical: RP is the return-stack pointer, IC is the Forth Instruction Counter, and UV points to the current task's user variables. The op-code SEZ sets the processor-status word's Zero bit as a flag, causing EQ END to fall through. The comparison HS (high-or-same) is an unsigned greater-than-or-equal.

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References

[BROD84] Brodie, Leo, Thinking FORTH, Prentice-Hall, Inc., Englewood Cliffs, NJ, 1984.

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Appendix 1: Implementation

```
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                                                      23-Aug-85 CJP
\ Generalized EXIT
                                 \ return-stack marker value
-1 CONSTANT MARKER
CODE ({)
                                 \ push address-after-(})
               RP -)
                             IC )+ MOV
                                  MOV
                                             & the marker
               RP -)
                        MARKER #
                                   NEXT \
                                             onto return stack
                                 \ <> - <address for "}" to fill>
: {
                                  if we're compiling,
         STATE @
                                      compile {'s run-time code,
         IF COMPILE ({)
                                         & save address
            HERE
                                         of next word for "}"
            Ø,
         THEN;
                  IMMEDIATE
                                 \ <> - <>
CODE (})
               RP )+
                             RP )+ CMP \ pop marker & address
                                             from return stack
                                 \ <addr of ({)'s 2nd word> - <>
: }
                                 \ if we're compiling.
         STATE @
                                      compile }'s run-time code,
         IF COMPILE (})
                                      & tell ({) where (}) ends
            HERE SWAP!
         THEN:
                   IMMEDIATE
                                 \ <whatever> - <>
: {ABORT}
         CR ." No {EXIT} flag"
                                 \ let debugger know
                                      why we're aborting
         CR ABORT :
CODE {EXIT}
                                   <> - <>
                                         \ pop
     BEGIN
                                              return stack
         ' RØ @ UV I)
                             RP
                                   CMP
                                              till it's empty
                                    SEZ
        HS IF
                                         ١
                                              or marker is found
                RP )+
                         MARKER #
                                   CMP
        ELSE
        THEN
     EO END
                                         \ if no marker is found,
         'RØ@UVI)
                             RP
                                    CMP
                      ' RØ @ UV I) MOV
                                              tidy up
     HS IF
                RP
                      ' {ABORT} #
                                    MOV
                                         ١
                                              & abort
                IC
                             RP )+ MOV
                                         \ else execute
     ELSE
                IC
                                              the word following (})
      THEN
                                    NEXT \
```

```
\ NOTE:
\ A minimalist implementation would omit the STATE @ IF ... THEN
\ from { and }, would omit {ABORT}, and would define {EXIT} as
\ follows:
١
\ CODE {EXIT}
      BEGIN
                                       \ pop return stack
                        MARKER # CMP \
                                            till is marker found,
               RP )+
       EQ END
                            RP )+ MOV \ then execute
               IC
                                  NEXT \
                                            the word following (})
```